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23505 7590 07/20/2006

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CONLEY ROSE, P.C.
P. O. BOX 3267
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Elfa R. Sisco	(Depositor's name)
<i>Elfa R. Sisco</i>	(Signature)
October 19, 2006	(Date)

APPLICATION NO	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO	CONFIRMATION NO
09/809,584	03/15/2001	Mark Rose	1991-00200	5899

TITLE OF INVENTION:

ONLINE TRADING SYSTEM HAVING ALLY-INITIATED TRADING

APPLN TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	10/20/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
DASS, HARISH T.	3628	705-037000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363)
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached
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2
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3 ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

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(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

E*TRADE GROUP, INC., A CORP. OF CALIFORNIA MENLO PARK, CA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a The following fee(s) are submitted:

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5 Change in Entity Status (from status indicated above)

☐ a Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Nick Patel

Date October 19, 2006

Typed or printed name Nick Patel

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